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Roll No. ....

**57023**

**B.B.A. 3rd Sem. (N.S.) 2011-14  
Examination-December, 2013**

**Capital Markets**

**Paper-BBA-303**

**Time : 3 hours**

**Max. Marks : 80**

Before answering the questions, candidates should ensure that they have been supplied the correct and complete question paper. No complaint in this regard will be entertained after the examination.

**Note :** Attempt five questions in all. Q. No. 1 (Section-A), having 8 short questions is **compulsory**. From Section-B, attempt **four** questions (**one** question from each unit).

**Section-A**

1. (a) Discuss the functions of capital markets.

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(1)

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- (b) Who are the participants in capital markets ?
- (c) What is carry forward system ?
- (d) Discuss the objectives of NSDL.
- (e) What advantages does a listed company has ?
- (f) Highlight the importance of debt market.
- (g) What are the objectives of SIDBI ?
- (h) Differentiate between open-ended and close-ended schemes.

### **Section-B**

#### **Unit-I**

- 2. Discuss the features of capital markets. How they contribute in the economic development of a country ?
- 3. Highlight the instruments of capital markets ? What are the risks involved in them ?



## **Unit-II**

4. What is a primary market ? What purpose does it serve ? Discuss various methods of raising funds in it.
5. What are stock market indices ? How are they constructed ? What is their utility ?

## **Unit-III**

6. Which services are provided by depositories? What are the benefits of depository system ?
7. Discuss in detail the evolution and growth of Indian debt market, why are debt instruments not as actively traded as equity instruments ?

## **Unit-IV**

8. What are the objectives of ICICI ? Discuss its major achievement and developments .
9. Why should mutual funds be regulated ? Discuss the regulatory measures of SEBI relating to mutual funds.

