

Roll No.

57523

**BBA 3rd Semester (N.S.) 2014-17
Examination – November, 2017**

CAPITAL MARKETS

Paper : BBAN-303

Time : Three Hours] [Maximum Marks : 80

Before answering the questions, candidates should ensure that they have been supplied the correct and complete question paper. No complaint in this regard, will be entertained after examination.

Note : Attempt *compulsory* question No. 1 from **Section-A** and *four* questions from **Section-B** (*one* question from each Unit). All questions carry equal marks.

SECTION – A

1. Briefly explain the following :

- (a) Need of capital market
- (b) Financial assets
- (c) Initial public offer
- (d) Listing of securities

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(e) Depository system

(f) Non-convertible debentures

(g) Objectives of development banks

(h) Open ended schemes

SECTION – B

UNIT – I

2. Elaborate the nature of capital market. Which developments have taken place in Indian capital market since 1991 ?

3. Discuss the features and types of equity shares.

UNIT – II

4. Which are the primary market intermediaries ? What role do they play ?

5. What are the causes of poor liquidity in secondary market ? Which steps have been taken by SEBI to improve it ?

UNIT – III

6. Explain the functions and constituents of depository system.

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7. Write a note on the features and instruments of debt market.

UNIT – IV

8. What are the functions of NABARD ? Briefly discuss the features of NABARD's assistance policy.

9. Why should SEBI regulate mutual funds ? Discuss the SEBI guidelines for mutual funds.